BAKER BOTTS IIP		Agent 1 and	A TOP HE ALL A DALLAS HE ALL ALL HE BENEVA CANADA	
Тум текелогу	vill not be confirmed by phone intess requested		A908 (1944) NEW YORK HALL AUTO REALE WAN 1947 (10)	
FROM DATE PAGÉS	Christopher S. Storm May 3, 2011	voice no. 214,953 fax no. 214,953 return to Angela	214.953.4484	
ТО	Examiner Kirsten Apple USPTO		571,273,5588 571,272,5581	

MESSAGE

Attached please find the requested corrected Application Data Sheet for Application No. 10/064,745

Notice of Confidentiality

The information contained in and transmitted with this facsimile is: 1. Subject to the Attorney-client Privilege; 2. Attorney Work Product; or 3. Confidential. It is intended only for the individual or entity designated above. You are hereby notified that any dissemination, distribution, copying, or use of or reliance upon the information contained in and transmitted with this facsimile by or to anyone other than the recipient designated above by the sender is unauthorized and strictly prohibited. If you have received this facsimile in error, please notify Baker Botts L.L.P. by telephone at 214.953.6740 immediately. Any facsimile erroneously transmitted to you should be immediately returned to the sender by U.S. Mail or, if authorization is granted by the sender, destroyed.

If you do not receive all pages, please call: 214.953.6740 for assistance.

BILLING NO 015444.0608

AETORNEY/EMPLOYEE NO 05388

critical deadline, send by rush

ATTORNEY DOCKET NUMBER 015444.0608

PATENT APPLICATION 10/064,745

}

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

VIA FACSIMILE: 571.273.5588

James R. Birle, Jr. et al. In re Application of:

10/064,745 Serial No.:

August 12, 2002 Filing Date:

3694 Art Unit: 6610 Confirmation No.

Convertible Financial Instruments with Contingent Payments Title:

Attn: Examiner Kirsten Apple

Commissioner of Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL APPLICATION DATA SHEET

Attached is the Supplemental Application Data Sheet with corrections to replace the originally filed Application Data Sheet.

The Commissioner is hereby authorized to charge any amount required by this paper and/or credit any overpayment to Deposit Account No. 02-0384 of Baker Botts L.L.P.

BAKER BOTTS L.L.P.

Attorneys for Applicants

Christopher S. Storm

Reg. No. 57,445

CUSTOMER NO. 05073

SUPPLEMENTAL APPLICATION DATA SHEET

APPLICATION INFORMATION

Application No.:: 10/064,745

Filing Date:: 08/12/2002

Application Type:: Nonprovisional

Subject Matter:: Utility

Title:: Convertible Financial Instruments with

Contingent Payments

Attorney Docket Number:: 015444.0608 (PMN: 4213US2)

Request for Early Publication?:: No

Request for Non-Publication?:: No

Suggested Drawing Figure::

Total Drawing Sheets:: 6

Small Entity?:: No

APPLICANT INFORMATION

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: James R.

Family Name:: Birle, Jr.

City of Residence:: Summit

State or Prov. Of Residence:: NJ

Country of Residence:: US

Street of mailing address:: 37 Sweetbriar Road

City of mailing address:: Summit

State or Province of mailing NJ

address::

Country of mailing address:: US

Postal or Zip Code of mailing 07901

address∷

DAL01 H65443.1 - 1 -

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: David K. Family Name:: Dolan

City of Residence:: Annandale

State or Prov. Of Residence:: VA
Country of Residence:: US

Street of mailing address:: 6535 Renwood Lane

City of mailing address:: Annandale

State or Province of mailing VA

address::

Country of mailing address:: US

Postal or Zip Code of mailing 22003

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Jeffrey N. Family Name:: Edwards

City of Residence:: Basking Ridge

State or Prov. Of Residence:: NJ

Country of Residence:: US

Street of mailing address:: 55 Meeker Road

City of mailing address:: Basking Ridge

State or Province of mailing NJ

address::

Country of mailing address:: US

Postal or Zip Code of mailing 07920

address∷

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Yonathan
Family Name:: Epelbaum

City of Residence:: New York

State or Prov. Of Residence:: NY
Country of Residence:: US

Street of mailing address:: 500 Chambers Street #4G

City of mailing address:: New York

State or Province of mailing NY

address::

Country of mailing address:: US

Postal or Zip Code of mailing 10282

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Frederick J.

Family Name:: Fiddle

City of Residence:: Ridgewood

State or Prov. Of Residence:: NJ

Country of Residence:: US

Street of mailing address:: 450 Knollwood Road

City of mailing address:: Ridgewood

State or Province of mailing NJ

address::

Country of mailing address:: US

Postal or Zip Code of mailing 07450

address::

Applicant Authority Type:: Inventor

DAL01.1165443 1 - 3 -

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Emerson P.

Family Name:: Jones

City of Residence:: Greenwich

State or Prov. Of Residence:: CT
Country of Residence:: US

Street of mailing address:: 72 Rockwood Lane

City of mailing address:: Greenwich

State or Province of mailing CT

address::

Country of mailing address:: US

Postal or Zip Code of mailing 06830

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: 40021 <u>US</u>

Status:: Full Capacity

Given Name:: Stuart C.

Family Name:: Kaperst

City of Residence:: New York

State or Prov. Of Residence:: NY

Country of Residence:: US

Street of mailing address:: 180 E. 79th Street #10F

City of mailing address:: New York

State or Province of mailing NY

address::

Country of mailing address:: US

Postal or Zip Code of mailing 10021

address∷

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Todd K...

Family Name:: Kaplan

City of Residence:: Winnetka

State or Prov. Of Residence:: IL

Country of Residence:: US

Street of mailing address:: 254 Scott Avenue

City of mailing address:: Winnetka

State or Province of mailing IL

address::

Country of mailing address:: US

Postal or Zip Code of mailing 60093

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Daniel Y.

Family Name:: Kerstein

City of Residence:: Woodmere Woodsburgh

State or Prov. Of Residence:: NY

Country of Residence:: US

Street of mailing address:: 1000 Barberry Lane

City of mailing address:: Woodsburghh

State or Province of mailing NY

address::

Country of mailing address:: US

Postal or Zip Code of mailing 11598

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: BG

Status:: Full Capacity

Given Name:: Dragomir K.

Family Name:: Kolev

City of Residence:: New York

State or Prov. Of Residence:: NY

Country of Residence:: US

Street of mailing address:: 330 E. 33rd Street \$ #4G

City of mailing address:: New York

State or Province of mailing NY

address::

Country of mailing address:: US

Postal or Zip Code of mailing 10016

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Richard P.

Family Name:: Luciano

City of Residence:: Morristown

State or Prov. Of Residence:: NJ

Country of Residence:: US

Street of mailing address:: 1 Three Gables Road

City of mailing address:: Morristown

State or Province of mailing NJ

address::

Country of mailing address:: US

Postal or Zip Code of mailing 07690

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Thomas H.

Family Name:: Patrick, Jr.

City of Residence:: New York

State or Prov. Of Residence:: NY

Country of Residence:: US

Street of mailing address:: 1365 York Avenue #8K

City of mailing address:: New York

State or Province of mailing NY

address::

Country of mailing address:: US

Postal or Zip Code of mailing 10021

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Paul A. Family Name:: Pepe

City of Residence:: New York

State or Prov. Of Residence:: NY

Country of Residence:: US

Street of mailing address:: 23 E 10th Street #109

City of mailing address:: New York

State or Province of mailing NY

address::

Country of mailing address:: US

Postal or Zip Code of mailing 10003

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Eric

Family Name:: Steifman

City of Residence:: New York

State or Prov. Of Residence:: NY

Country of Residence:: US

Street of mailing address:: 310 W. End Avenue #10B

City of mailing address:: New York

State or Province of mailing NY

address::

Country of mailing address:: US

Postal or Zip Code of mailing 10023

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Russell L.

Family Name:: Stein

City of Residence:: Englewood Cliffs

State or Prov. Of Residence:: NJ

Country of Residence:: US

Street of mailing address:: 10 Karens Lane
City of mailing address:: Englewood Cliffs

State or Province of mailing NJ

address::

Country of mailing address:: US

Postal or Zip Code of mailing 07632

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Brennan J.

Family Name:: Warble

City of Residence:: Bronxville

State or Prov. Of Residence:: NY

Country of Residence:: US

Street of mailing address:: 3 Sherman Avenue

City of mailing address:: Bronxville

State or Province of mailing NY

address::

Country of mailing address:: US

Postal or Zip Code of mailing 10708

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Richard J.

Family Name:: Green

City of Residence:: Woodbury

State or Prov. Of Residence:: NY

Country of Residence:: US

Street of mailing address:: 10 Castle Court

City of mailing address:: Woodbury

State or Province of mailing NY

address::

Country of mailing address:: US

Postal or Zip Code of mailing 11797

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Robert A.

Family Name:: Rudnick

City of Residence:: Queenstown

State or Prov. Of Residence:: MD

Country of Residence:: <u>US</u>

Street of mailing address:: 1128 Cheston Lane

City of mailing address:: Queenstown

State or Province of mailing MD

address::

Country of mailing address:: <u>US</u>

Postal or Zip Code of mailing 21658

address::

Applicant Authority Type:: Inventor

Primary Citizenship Country:: US

Status:: Full Capacity

Given Name:: Frank R.

Family Name:: Strong

City of Residence:: McLean

State or Prov. Of Residence:: <u>VA</u>

Country of Residence:: <u>US</u>

Street of mailing address:: Chesterbrook Vale Court

City of mailing address:: McLean

State or Province of mailing <u>VA</u>

address::

Country of mailing address:: <u>US</u>

Postal or Zip Code of mailing <u>22101</u>

address::

CORRESPONDENCE INFORMATION

Correspondence Customer 05073

Number::

Name:: Samir A. Bhavsar

Company Name:: Baker Botts L.L.P.

Street of mailing address:: 2001 Ross Avenue, Suite 600

City of mailing address:: Dallas

State or Province of mailing TX

address::

Postal or Zip Code of mailing 75201-2980

address::

Phone number:: 214-953-6581

Fax Number:: 214-661-4581

REPRESENTATIVE

INFORMATION

Representative Customer Number:: 21121 05073

DOMESTIC BENEFIT/NATIONAL STAGE INFORMATION:

DAL01:116543.1 - 10 -

Continuity Type Non-provisional of:

Prior Application No. 60/311,574

Filing Date 08/10/2001

Patent No.

Issue Date

Continuity Type

Prior Application No.

Filing Date

Patent No.

Issue Date

ASSIGNEE INFORMATION

Assignee name:: Bank of America Corporation

Street of mailing address:: 101-South Tryon Street

Mailcode: NC1-002-29-01

Hearst Tower, NC-027-20-05

214 N. Tryon Street

City of mailing address:: Charlotte

Country of mailing address:: NC

Postal or Zip Code of mailing 28255

address::